

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

WOODS, RANDALL

Serial No.: 10/634,498

Filed: AUGUST 5, 2003

CAPSULAR INTRAOCULAR LENS IMPLANT HAVING A REFRACTIVE

LIQUID THEREIN

Docket No.: 32311-CIP2

Group Art Unit No.: 3738

Examiner:

Commissioner of Patents

Mail Stop: IDS P.O. Box 1450

Alexandria, VA 22313-1450

# **TRANSMITTAL**

Transmitted herewith are: Transmittal; Information Disclosure Statement; Information Disclosure Statement by Applicant - Form 1449; and return postcard.

EV 342622137 US

by

Express Mail No.

Respectfully submitted,

**HOVEY WILLIAMS LLP** 

Dated: November 20, 2003

Tracy Bornman, Reg. No. 42,347

HOVEY WILLIAMS LLP

2405 Grand Boulevard, Suite 400

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816/474-9050

ATTORNEYS FOR APPLICANT

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# **INFORMATION DISCLOSURE STATEMENT**

The cited references are being filed to fulfill the duty of candor and good faith toward the Patent and Trademark Office, as required by 37 C.F.R. §1.56.

Any fee which is due in connection with this Statement should be applied against Deposit Account No. 19-0522.

Respectfully submitted,

Tracy Bornman, Reg. No. 42,347

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. 32311-CIP2

SERIAL NO. 10/634,498

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

FILING DATE:

GROUP: 3738

(Use several sheets if nec\_ssary)

August 5, 2003

APPLICANT: WOODS, RANDALL

# **U.S. PATENT DOCUMENTS**

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EXAM. INITIAL		DOG	CUMI	ENT	NUM	BER		DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
. 1	2	8	3	4	0	2	3	05/13/1958	W. A. Lieb			Feb. 6, 1957
	3	6	7	3	6	1	6	07/04/1972	Fedorov et al.			Jan. 16, 1970
	3	7	1	1	8	7	0	01/23/1973	Deitrick			Dec. 7, 1971
	3	8	6	6	2	4	9	02/18/1975	Flom			Mar. 7, 1974
	3	9	0	6	5	5	1	09/23/1975	Otter			Nov. 14, 1974
	3	9	1	3	1	4	8	10/21/1975	Potthast			Dec. 26, 1974
	3	9	2	5	8	2	5	12/16/1975	Richards et al.			Jan. 24, 1975
	4	0	1	0	4	9	6	03/08/1977	Neefe			Oct. 1, 1975
	4	0	1	4	0	4	9	03/29/1977	Richards et al.			Apr. 7, 1976
	4	0	5	6	8	5	5	11/08/1977	Kelman		Ì	Apr. 7, 1976
	4	0	7	4	3	6	8	02/21,1978	Levy, Jr. et al.			Sep. 8, 1976
	4	0	8	7	8	6	6	05/09/1978	Choyce et al.			Apr. 26, 1977
	4	1	1	0	8	4	8	09/05/1978	Jensen			May 6, 1977
	4	1	5	9	5	4	6	07/03/1979	Shearing			Jun. 15, 1977
	4	2	4	4	0	6	0	01/13/1981	Hoffer			Dec. 1, 1978
	4	2	5	1	8	8	7	02/24/1981	Anis			Apr. 2, 1979
	4	2	5	3	1	9	9	03/03/1981	Banko			Sep. 25, 1978

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	DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSL	ATION
	wo	02/199	49 A2					14 Mar. 2002	PCT			YES	
	-												

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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FORM PTO-1449 (Rev. 2-32)	PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 32311-CIP2	SERIAL NO. 10/643,498		
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Sheet 2 of 3	everal sheets if necessary) -	FILING DATE: August 5, 2003	GROUP: 3738		

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## **U.S. PATENT DOCUMENTS**

EXAM. INITIAL		DOC	CUMI	ENT	NUM	BER		DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4	2	5	4	5	0	9	03/10/1981	Tennant			Apr. 9, 1979
	4	2	6	1	0	6	5	04/14/1981	Tennant			Jul. 27, 1979
	4	2	8	5	0	7	2	08/25/1981	Morcher et al.			May 14, 1979
	4	2	9	8	9	9	4	11/10/1981	Clayman			10/26/1979
	4	3	7	0	7	6	0	02/01/1983	Kelman			Mar. 25, 1981
	4	3	7	3	2	1 8 0		02/15/1983	Schachar			Nov. 17, 1980
	4	5	6	2	6	0	0	01/07/1986	Ginsberg et al.			Oct. 18, 1983
	4	6	6	1	1	0	8	04/28/1987	Grendahl et al.			Jul. 3, 1985
	 4	6	9	3	7	1	6	09/15/1987	Mackool			Aug. 21, 1984
	4	7	1	0	1	9	4	12/01/1987	Kelman			Oct. 20, 1986
	4	7	3	7	3	2	2	04/12/1988	Bruns et al.			Sept. 27, 1985
	4	8	4	2	6	0	1	06/27/1989	Smith			May 18, 1987
	4	4	0	9	6	9	1	10/18/1983	Levy			Nov. 2, 1981
	4	4	2	4	5	9	7	01/10/1984	Schlegel			May 3, 1982
	4	5	7	3	9	9	8	03/04/1986	Mazzocco			Feb. 5, 1982
	4	6	1	5	7	0	1	10/07/1986	Woods			Nov. 13, 1984
	4	6	6	4	6	6	6	05/12/1987	Barrett			Aug. 13, 1984

## **FOREIGN PATENT DOCUMENTS**

	 D	эсим	ENT N	IUMBE	R	DATE	COUNTRY	CLASS	SUBCLASS	TRANSL	ATION
										YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT	APPLICANT: WOODS, RA	ANDALL
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## **U.S. PATENT DOCUMENTS**

EXAM. INITIAL			DOC	CUMI	ENTI	NUM	BER		DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		4	7	9	0	8	4	7	12/13/1988	Woods			May 26, 1987
		4	8	4	0	6	2	7	06/20/1989	Blumenthal			Jun. 20, 1989
		4	9	9	4	0	8	2	02/19/1991	Richards et al.			Sep. 9, 1988
		5	2	7	5	6	2	3	01/04/1994	Sarfarazi			Nov. 18, 1991
		5	0	4	7	0	5	1	09/10/1991	Cumming			Apr. 27, 1990
		5	6	7	4	2	8	2	10/07/1997	Cumming			May 12, 1995
		6	2	1	7	6	1	2	04/17/2001	Woods			Sep. 10, 1999
	Î	6	6 2 9 9 6 4 1				4	1	10/09/2001	Woods			Sep. 7, 2000
		6 3 2 2 5 8 9				8	9	11/27/2001	Cumming			Feb. 29, 2000	
		6	4	4	3	9	8	5	09/03/2002	Woods			Aug. 27, 2001
		6	6	1	6	6	9	1	09/09/2003	Tran			Jan. 10, 2003
		6	6	1	6	6	9	2	09/09/2003	Glick et al.			Sep 3, 1999
		6	5	9	9	3	1	7	07/29/2003	Weinschenk, III et al.			Sep. 7, 2000
	Ì	6	5	2	4	3	4	0	02/25/2003	Israel			May 23, 2001
		6	5	0	3	2	7	6	01/07/2003	Lang et al.			Mar. 30, 2001
		6	4	8	8	7	0	8	12/03/2002	Sarfarazi			Apr. 9, 1999
		6	4	4	3	9	8	5	09/03/2002	Woods			Aug. 27, 2001
		6	1	7	6	8	7	8	01/23/2001	Gwon et al.			Dec. 17, 1998
		5	6	0	7	4	7	2	03/04/1997	Thompson			May 9, 1995
		5	4	8	0	4	2	8	01/02/1996	Federov et al			Apr. 22, 1994
		5	4	4	3	5	0	6	08/22/1995	Carabet			Nov. 18, 1992
		5	1	7	1	2	6	6	12/15/1992	Wiley et al.			Sep. 4, 1990
		4	9	3	2	9	6	6	06/12/1990	Chrisie et al.			Aug. 15, 1988

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	DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSL	ATION
												YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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